

# ● PRINTER RUSH ●

(PTO ASSISTANCE)

Application : 10/064,287

Examiner : Barot

GAU : 2155

From : KEM

Location : (IDC) FMF FDC

Date : 12/8/05

Tracking #: epm 10/064,287

Week Date: 8/29/05

DOC CODE	DOC DATE	MISCELLANEOUS
<input type="checkbox"/> 1449	_____	<input type="checkbox"/> Continuing Data
<input type="checkbox"/> IDS	_____	<input type="checkbox"/> Foreign Priority
<input type="checkbox"/> CLM	_____	<input type="checkbox"/> Document Legibility
<input type="checkbox"/> IIFW	_____	<input type="checkbox"/> Fees
<input type="checkbox"/> SRFW	_____	<input type="checkbox"/> Other
<input type="checkbox"/> DRW	_____	
<input checked="" type="checkbox"/> OATH	_____	
<input type="checkbox"/> 312	_____	
<input type="checkbox"/> SPEC	_____	

## [RUSH] MESSAGE:

Please provide a signed oath for this file.

thank you

KEM

## [XRUSH] RESPONSE:

See misc comm

Done

INITIALS: LM

NOTE: This form will be included as part of the official USPTO record, with the Response document coded as XRUSH.

REV 10/04



International Business Machines Corporation  
Intellectual Property Law Department



TELEFAX TRANSMISSION  
TRANSMITTED TO:

DATE: 1/4/2006

Name: USPTO  
Street, Rm./Fl: \_\_\_\_\_  
City, State, Zip: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Fax Number: 571/273-9009

TRANSMITTED FROM:  
Address:

City, State, Zip: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Fax Number: \_\_\_\_\_

Jeanine S. Ray-Yarletts, Reg. No. 39,808  
IBM Corporation  
Dept. YXSA/Bldg. 002-2  
3039 Cornwallis Road  
Research Triangle Park, NC 27709  
(919) 543-2541  
(919) 254-2649

**CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being facsimile transmitted to 571/273-9009 to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313 on this day January 4, 2006

Vickie Diane Hawkins  
Signature

BY: Vickie Diane Hawkins

Serial No. 10/064,287  
Filed 06/28/2002

1. Response to Notice to File Corrected Application Papers (2 sheets).
2. Copy of Notice to File Corrected Application Papers (1 sheet).
3. Copy of return receipt postcard (1 sheet).
4. Copy of Completion of Filing Requirements dated August 22, 2002 (2 sheets).
5. Copy of Notice to File Missing Parts dated July 11, 2002 (2 sheets).
6. Copy of executed Declaration (4 sheets).

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Total Pages 13 (Including Cover Sheet)

RPS920020080US1

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Certificate of Mailing/Facsimile 37 CFR 1.8(a)

I hereby certify that this correspondence is being:

\_\_\_\_\_ deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to:      X transmitted by facsimile to 571-273-9009

COMMISSIONER OF PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

on January 4, 2006.

By Vickie Diane Hawkins Vickie Diane Hawkins

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PATENT  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	:	Date: January 4, 2006
D. Bantz	:	Group Art Unit: 2155
Serial Number: 10/064,287	:	Examiner: F. Hamza
Filed: 28 June 2002	:	INTERNATIONAL BUSINESS MACHINES CORPORATION
Title: System and Method for the Allocation of Grid Computing Workload To Network Workstations	:	Intellectual Property Law Department D-YXSA B-002/2 P.O. Box 12195 Research Triangle Park, NC 27709

Response to Notice to File Corrected Application Papers

The Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 2213-1450

Dear Sir:


This document is a response to the Notice to File Corrected Application Papers dated December 29, 2005. Enclosed with this document are:

RPS920020080US1

1

- a) A copy of the Notice to File Corrected Application Papers.
- b) A copy of the returned receipt postcard.
- c) A copy of the Completion of Filing Requirements filed August 22, 2002.
- d) A copy of the Notice to File Missing Parts dated July 11, 2002.
- e) A copy of the executed Declaration.

Respectfully Submitted,

  
Jeanine S. Ray-Yarlett, Reg. No. 39,808  
Attorney for Applicants  
IBM Corporation  
IP Law Dept YXSA/B002  
P.O. Box 12195  
Research Triangle Park, NC 27709  
Customer Number 25299

Phone: 919-543-2541  
FAX: 919-254-2649

**UNITED STATES PATENT AND TRADEMARK OFFICE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Serial Number  
10064287

Date Mailed  
12/29/05

**NOTICE TO FILE CORRECTED APPLICATION PAPERS*****Notice of Allowance Mailed***

This application has been accorded an Allowance Date and is being prepared for issuance. The application, however, is incomplete for the reasons below.

Applicant is given 30 days from the mail date of this Notice within which to correct the informalities indicated below. A failure to reply will result in the application being ABANDONED. This period for reply is NOT extendable under 37 CFR 1.136 (a) or (b).

- ♦ Signed oath/declaration/ADS is missing from application. Fax missing information to number below or e-mail.
  - o For status updates visit <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR System, contact the Electronic Business Center (EBC) toll free at 866-217-9197.

**APPLICANT MUST SUPPLY MISSING INFORMATION WITHIN 30 DAYS OF THE MAIL DATE OF THIS NOTICE.**

A copy of this notice **MUST** be returned with the reply. Please address response to Commissioner for Patents P.O. Box 1450  
Alexandria, VA 22313-1450

A handwritten signature in black ink, appearing to read "R. Burch", written over a horizontal line.

Rori Burch  
USPTO  
Publishing Division  
Rori.burch@uspto.gov  
Fax (571) 273-9009  
Fax (703) 308-6642  
703-305-0333 ext.135 (V)

PLEASE ACKNOWLEDGE AND RETURN

## CERTIFICATE OF EXPRESS MAIL NO. \_\_\_\_\_

DATE MAILED 8/22/02 DATE DUE: 9-11-02

☒ APPLICATION  
☒ DECLARATION  
ASSIG. MENT & PTO 1559  
DRAWINGS  
☒ MISSING PARTS  
OTHER \_\_\_\_\_

AMEND. & TRANS. FORM  
IDS & FORM PTO 1  
PET. FOR EXT. OF TIME  
ISSUE FEE  
CERT. OF MAILING

DOCKET: RPS920020080US1  
INTL. FILING DATE: \_\_\_\_\_SER. NO. 10/06A, 283  
INTL. APPLN. NO. \_\_\_\_\_IN RE APPLICATION OF: Bantz et alTITLE OF APPLICATION: S/M for the Allocation of Grid...PGS. OF SPEC: 0 NO. OF CLAIMS 0 SHTS OF DWGS 0TOTAL CHARGES: \$130 DEPOSIT ACCT. NO. 09-1990  
ATTORNEY: SUR

Practitioner's Docket No. RPS920020080US1

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David Frederick Bantz

Application No.: 10/064,287

Group No.: 2152

Filed: June 28, 2002

Examiner: Unassigned

For: SYSTEM AND METHOD FOR THE ALLOCATION OF GRID COMPUTING WORKLOAD  
TO NETWORK WORKSTATIONS

Box Missing Part

Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 11, 2002.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

[X] deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, Washington D.C. 20231

37 C.F.R. § 1.8(a)

[X] with sufficient postage as first class mail.

37 C.F.R. § 1.10\*

□ as "Express Mail Post Office to Addressee"

Mailing Label No. \_\_\_\_\_

(mandatory)

Signature

Amirah Scarborough

(type or print name of person certifying)

Date:

8/22/02

**COMPLETION FEES****III.**

1. Surcharge Fees  
Late payment of filing fee  
and/or late filing of original  
declaration or oath  
(37 C.F.R. § 1.16(e))
- \$130.00

**Total Completion Fees** **\$130.00**

**EXTENSION OF TIME**

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE****V. The total fee due is:**

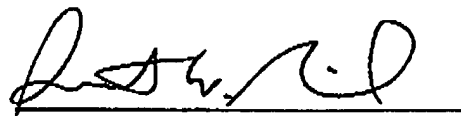
Completion fees	\$130.00
Extension fee (if any)	\$0.00
<b>Total Fee Due</b>	<b>\$130.00</b>

**PAYMENT OF FEES**

VI. Authorization is hereby made to charge the amount of \$170.00 to Deposit Account No. 09-1990.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: August 22, 2002



Scott W Reid  
Registration No. 42098  
919-254-1085  
Customer No. 25299





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/064,287	06/28/2002	David Frederick Bantz	RPS920020080

25299  
IBM CORPORATION  
PO BOX 12195  
DEPT 9CCA, BLDG 002  
RESEARCH TRIANGLE PARK, NC 27709

PC COMPANY  
LEGAL DEPARTMENT  
RALEIGH

CONFIRMATION NO. 4431

FORMALITIES LETTER



\*OC000000008429885\*

02 JUL 15 P12:19

Date Mailed: 07/11/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

**Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

**Items Required To Avoid Processing Delays:**

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

*A copy of this notice **MUST** be returned with the reply.*

Y.A.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

## PATENT

DECLARATION AND POWER OF ATTORNEY FOR  
PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR THE ALLOCAITON OF GRID COMPUTING  
WORKLOAD TO NETWORK WORKSTATIONS

the specification of which is identified by the attorney (IBM) docket number below:

XXX under Attorney's Docket Number RPS920020080US1  
XXX as Application Serial No. 10/064287  
\_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with 37 CFR 1.56.

I hereby claim the benefit of foreign priority under 35 USC 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application the priority of which is claimed:

Prior Foreign Application(s):			Priority Claimed
			____ Yes ____ No
_____ (Number)	_____ (Country)	_____ (Filing Date)	

I hereby claim the benefit of United States priority under 35 USC 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in a listed prior United States application in the manner provided by the first paragraph of 35 USC 112, I acknowledge the duty to disclose information material to the patentability of this application as defined in 37 CFR 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial #)	_____ (Filing Date)	_____ (Status)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all attorneys and/or agents associated with **CUSTOMER NO.: 25299**; and the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Carlos Munoz-Bustamante	Reg. No. 51,349
Joscelyn G. Cockburn	Reg. No. 27,069
John D. Flynn	Reg. No. 35,137
George E. Grosser	Reg. No. 25,629
Daniel E. McConnell	Reg. No. 20,360
Martin J. McKinley	Reg. No. 31,782
Scott W. Reid	Reg. No. 42,098
J. Bruce Schelkopf	Reg. No. 43,901

Send correspondence to Scott W. Reid, at **CUSTOMER NO.: 25299** and direct all telephone calls to Scott W. Reid at (919) 254-1085.

---

FULL NAME OF FIRST INVENTOR: **DAVID FREDERICK BANTZ**

INVENTOR'S SIGNATURE: *David Frederick Bantz*

DATE: 8/7/02

RESIDENCE: 65 Ridgewood Terrace; Chappaqua, New York, 10514

CITIZENSHIP: USA

POST OFFICE ADDRESS: same as residence

---

FULL NAME OF SECOND INVENTOR: **DAVID CARROLL CHALLENGER**

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATE: \_\_\_\_\_

RESIDENCE: 713 Hunting Ridge Road; Raleigh, North Carolina 27615

CITIZENSHIP: USA

POST OFFICE ADDRESS: same as residence

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint all attorneys and/or agents associated with **CUSTOMER NO.: 25299**; and the following attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Carlos Munoz-Bustamante	Reg. No. 51,349
Joscelyn G. Cockburn	Reg. No. 27,069
John D. Flynn	Reg. No. 35,137
George E. Grosser	Reg. No. 25,629
Daniel E. McConnell	Reg. No. 20,360
Martin J. McKinley	Reg. No. 31,782
Scott W. Reid	Reg. No. 42,098
J. Bruce Schelkopf	Reg. No. 43,901

Send correspondence to Scott W. Reid, at **CUSTOMER NO.: 25299** and direct all telephone calls to Scott W. Reid at (919) 254-1085.

---

FULL NAME OF FIRST INVENTOR: **DAVID FREDERICK BANTZ**

INVENTOR'S SIGNATURE: \_\_\_\_\_ DATE: \_\_\_\_\_

RESIDENCE: 65 Ridgewood Terrace; Chappaqua, New York, 10514

CITIZENSHIP: USA

POST OFFICE ADDRESS: same as residence

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FULL NAME OF SECOND INVENTOR: **DAVID CARROLL CHALLENGER**

INVENTOR'S SIGNATURE:  DATE: 8/19/02

RESIDENCE: 713 Hunting Ridge Road; Raleigh, North Carolina 27615

CITIZENSHIP: USA

POST OFFICE ADDRESS: same as residence

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FULL NAME OF THIRD INVENTOR: JOHN PETER KARIDIS

INVENTOR'S SIGNATURE: John Peter Karidis

DATE: 8/8/2002

RESIDENCE: 69 Underhill Road; Ossining, New York, 10562

CITIZENSHIP: USA

POST OFFICE ADDRESS: same as residence